

# EXHIBIT

11

<b>DEFENDANT INFORMATION RELATIVE TO A CRIMINAL ACTION - IN U.S. DISTRICT COURT</b>					
BY: <input type="checkbox"/> COMPLAINT <input checked="" type="checkbox"/> INFORMATION <input type="checkbox"/> INDICTMENT <input type="checkbox"/> SUPERSEDING			Name of District Court and/or Judge/Magistrate Location <b>NORTHERN DISTRICT OF CALIFORNIA</b> <b>SAN FRANCISCO DIVISION A</b>		
<b>OFFENSE CHARGED</b> 15 U.S.C. Section 1 (Conspiracy in Restraint of Trade)			Petty <input type="checkbox"/> Minor <input type="checkbox"/> Misdemeanor <input checked="" type="checkbox"/> Felony		
PENALTY: See attachment  <b>E-filing</b>			<b>DEFENDANT - U.S.</b> <b>SAMSUNG SDI COMPANY, LTD.</b>		
			<b>DISTRICT COURT NUMBER</b> <b>CR 11 0162</b>		
			<b>DEFENDANT</b> <b>CRB</b>		
<b>PROCEEDING</b>			<b>IS NOT IN CUSTODY</b> Has not been arrested, pending outcome this proceeding. 1) <input checked="" type="checkbox"/> If not detained give date any prior summons was served on above charges <b>  </b>  2) <input type="checkbox"/> Is a Fugitive  3) <input type="checkbox"/> Is on Bail or Release from (show District)  <b>IS IN CUSTODY</b> 4) <input type="checkbox"/> On this charge  5) <input type="checkbox"/> On another conviction } <input type="checkbox"/> Federal <input type="checkbox"/> State  6) <input type="checkbox"/> Awaiting trial on other charges If answer to (6) is "Yes", show name of institution		
Name of Complainant Agency, or Person (& Title, if any)  Federal Bureau of Investigation			SHOW DOCKET NO. _____		
<input type="checkbox"/> person is awaiting trial in another Federal or State Court, give name of court  _____			MAGISTRATE CASE NO. _____		
<input type="checkbox"/> this person/proceeding is transferred from another district per (circle one) FRCrp 20, 21, or 40. Show District  _____			Has detainer <input type="checkbox"/> Yes been filed? <input type="checkbox"/> No } If "Yes" give date filed _____		
this is a reprocution of charges previously dismissed which were dismissed on motion of:  <input type="checkbox"/> U.S. ATTORNEY <input type="checkbox"/> DEFENSE			DATE OF ARREST <b>  </b> Month/Day/Year _____		
this prosecution relates to a pending case involving this same defendant			Or... if Arresting Agency & Warrant were not _____		
prior proceedings or appearance(s) before U.S. Magistrate regarding this defendant were recorded under			DATE TRANSFERRED TO U.S. CUSTODY <b>  </b> Month/Day/Year _____		
Name and Office of Person Furnishing Information on this form <u>Melinda L. Haag</u>			<input type="checkbox"/> This report amends AO 257 previously submitted		
<input checked="" type="checkbox"/> U.S. Attorney <input type="checkbox"/> Other U.S. Agency					
Name of Assistant U.S. Attorney (if assigned) <u>Lidia Maher, Antitrust Division</u>					
<b>ADDITIONAL INFORMATION OR COMMENTS</b>					
<b>PROCESS:</b> <input type="checkbox"/> SUMMONS <input checked="" type="checkbox"/> NO PROCESS* <input type="checkbox"/> WARRANT If Summons, complete following: <input type="checkbox"/> Arraignment <input type="checkbox"/> Initial Appearance Defendant Address:  _____			Bail Amount: _____  * Where defendant previously apprehended on complaint, no new summons or warrant needed, since Magistrate has scheduled arraignment		
			Date/Time: _____ Before Judge: _____		
Comments:					

PENALTY SHEET

Company: SAMSUNG SDI COMPANY, LTD.

Offense Charged: 15 U.S.C. Section 1 (Conspiracy in Restraint of Trade)

Maximum Penalties:

1. A fine in an amount equal to the largest of:
  - A. \$100 million.
  - B. Twice the gross pecuniary gain derived from the crime.
  - C. Twice the gross pecuniary loss caused to the victims of the crime.
2. A term of probation of at least one year but not more than five years.
3. \$400 special assessment.
4. Restitution.

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## E-filing

FILED  
2011 MAR 18 A 10:00  
RICHARD W. WIEKING  
CLERK, U.S. DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA  
Q

UNITED STATES DISTRICT COURT  
FOR THE NORTHERN DISTRICT OF CALIFORNIA

## SAN FRANCISCO DIVISION

## 11 UNITED STATES OF AMERICA

Glossary

12

## INFORMATION

13 || v.

**VIOLATION:**  
Title 15, United States Code,  
Section 1 (Conspiracy in  
Restraint of Trade)

15 | SAMSUNG SDI COMPANY, LTD.,

## San Francisco Venue

16 Defendant.

San J.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

19 The United States of America, acting through its attorneys, charges:

I.

DESCRIPTION OF THE OFFENSE

22 1. SAMSUNG SDI COMPANY, LTD. ("defendant") is made the defendant on the  
23 charge stated below.

24        2.      From at least as early as January 1997, until at least as late as March 2006, the  
25 defendant and its coconspirators entered into and engaged in a combination and conspiracy in the  
26 United States and elsewhere to suppress and eliminate competition by fixing prices, reducing  
27 output, and allocating market shares of color display tubes (“CDTs”). The combination and  
28 conspiracy engaged in by the defendant and its coconspirators was in unreasonable restraint of

1 | interstate and foreign trade and commerce in violation of Section 1 of the Sherman Act (15  
2 | U.S.C. § 1).

3       3.       The charged combination and conspiracy consisted of a continuing agreement,  
4 understanding, and concert of action among the defendant and its coconspirators, the substantial  
5 terms of which were to agree to fix prices, reduce output, and allocate market shares of CDTs.

6       4. For the purpose of forming and carrying out the charged combination and  
7 conspiracy, the defendant and its coconspirators did those things that they combined and  
8 conspired to do, including, among other things:

- (a) participating in meetings, conversations, and communications in Taiwan, Korea, Malaysia, China, and elsewhere to discuss the prices, output, and market shares of CDTs;
- (b) agreeing, during those meetings, conversations, and communications, to charge prices of CDTs at certain target levels or ranges;
- (c) agreeing, during those meetings, conversations, and communications, to reduce output of CDTs by shutting down CDT production lines for certain periods of time;
- (d) agreeing, during those meetings, conversations, and communications, to allocate target market shares for the CDT market overall and for certain CDT customers;
- (e) issuing price quotations and reducing output in accordance with the agreements reached; and
- (f) exchanging information on sales, production, market share, and pricing of CDTs, for the purpose of monitoring and enforcing adherence to the agreed-upon prices, output reduction, and market share allocation.

II.

## DEFENDANT AND COCONSPIRATORS

27        5.        SAMSUNG SDI COMPANY, LTD. is a corporation organized and existing under  
28 the laws of the Republic of Korea. During the period covered by this Information, SAMSUNG

1 SDI COMPANY, LTD. engaged in the business of producing and selling CDTs to customers in  
2 the United States and elsewhere.

3       6.     Various corporations and individuals, not made defendants in this Information,  
4 participated as coconspirators in the offense charged in this Information and performed acts and  
5 made statements in furtherance of it.

6        7. Whenever in this Information reference is made to any act, deed, or transaction of  
7 any corporation, the allegation means that the corporation engaged in the act, deed, or transaction  
8 by or through its officers, directors, employees, agents, or other representatives while they were  
9 actively engaged in the management, direction, control, or transaction of its business or affairs.

III.

## TRADE AND COMMERCE

8. CDTs are a type of cathode ray tube. Cathode ray tubes consist of evacuated glass envelopes that contain an electron gun and a phosphorescent screen. When electrons strike the screen, light is emitted, creating an image on the screen. CDTs are the specialized cathode ray tubes manufactured for use in computer monitors and other products with similar technological requirements.

17        9.        During the period covered by this Information, the defendant and its  
18 coconspirators sold and distributed CDTs in a continuous and uninterrupted flow of interstate  
19 and foreign trade and commerce to customers located in states or countries other than the states  
20 or countries in which the defendant and its coconspirators produced CDTs.

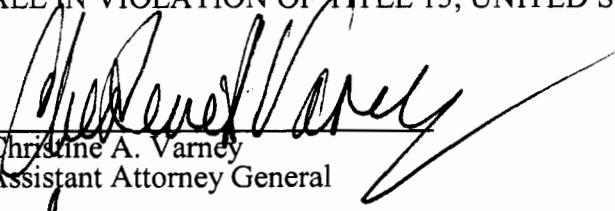
10. The business activities of the defendant and its coconspirators that are the subject  
of this Information were within the flow of, and substantially affected, interstate and foreign  
trade and commerce.

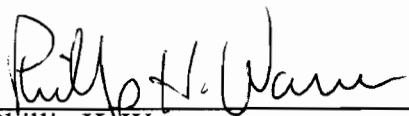
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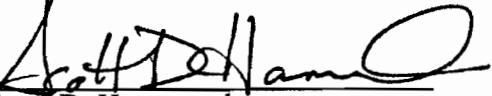
## **JURISDICTION AND VENUE**

26        11. The combination and conspiracy charged in this Information was carried out, in  
27 part, in the Northern District of California, within the five years preceding the filing of this  
28 Information.

1 ALL IN VIOLATION OF TITLE 15, UNITED STATES CODE, SECTION 1.

2   
3 Christine A. Varney  
4 Assistant Attorney General

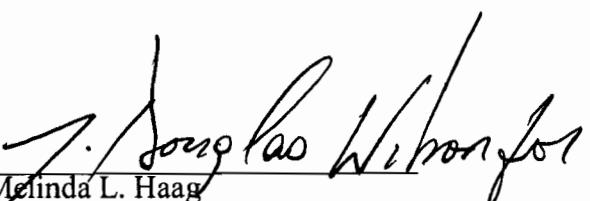
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